

230 North Elm Street, Suite 1500
Greensboro, NC 27401

Date: _____

100 Europa Drive, Suite 271
Chapel Hill, NC 27517

(mail) Post Office Box 21847
Greensboro, NC 27420



336.370.8800
(fax) 370.8830

ESTATE PLANNING QUESTIONNAIRE *for a married couple*

www.schellbray.com

The information requested will assist us in helping you develop appropriate estate plans. Please provide information that is as accurate and complete as possible. Please attach additional sheets if necessary. Also, if you are uncertain about a question, please note this and give us your best answer. Information you provide will be kept confidential unless you authorize its release to others; however, since we are being asked to represent both of you, we must and will treat all communications by either of you (including a desire to terminate the joint representation) as common knowledge to be shared among all of us, while maintaining strict confidentiality as to anyone else.

PERSONAL INFORMATION

Spouse #1's full name: _____

Date of birth: _____ Age: _____ Gender: _____ SSN: _____

Employer: _____

Work address: _____

Work telephone: _____

Of what country are you a citizen? _____

What is the condition of your health? _____

Were you married prior to your current marriage (including a civil union)? _____

Do you have parents or other adults who are financially dependent on you? _____

Do you presently have a Will? (If so, please attach a copy.) _____

Spouse #2's full name: _____

Date of birth: _____ Age: _____ Gender: _____ SSN: _____

Employer: _____

Work address: _____

Work telephone: _____

Of what country are you a citizen? _____

What is the condition of your health? _____

Were you married prior to your current marriage (including a civil union)? _____

Do you have parents or other adults who are financially dependent on you? _____

Do you presently have a Will? (If so, please attach a copy.) _____

Home address: _____

Home telephone: _____

Spouse #1 email address: _____

Spouse #2 email address: _____

Children	Date of Birth, Age, and Gender	Address	Identity of Parents

Grandchildren	Date of Birth, Age, and Gender	Address	Identity of Parents

Are any of the children or grandchildren listed above adopted? If so, please identify who is adopted, by whom, and the age at which the child or grandchild was adopted:

In connection with your present marriage, have you entered into any legal agreements regarding your marriage (pre-marital or post-marital)? If so, please attach a copy.

Do you have any obligations to a former spouse or children from a prior marriage under a separation agreement, divorce decree, child custody/support order, etc.? _____ If so, attach a copy of the relevant document(s).

Please provide names, addresses and telephone numbers for the following advisors (if applicable):

Accountant: _____

Financial Advisor: _____

Stock Broker: _____

Trust Officer or Banker: _____

Other Attorney: _____

FINANCIAL INFORMATION

<u>Assets</u>	Spouse #1	Spouse #2	Joint
Cash and Bank Accounts	\$ _____	\$ _____	\$ _____
Notes and Accounts Receivable	\$ _____	\$ _____	\$ _____
Stocks, Bonds and Mutual Funds	\$ _____	\$ _____	\$ _____
Annuities	\$ _____	\$ _____	\$ _____
Options	\$ _____	\$ _____	\$ _____
Residence (market value)	\$ _____	\$ _____	\$ _____
Other Real Estate (market value)	\$ _____	\$ _____	\$ _____
Life Insurance (face value)	\$ _____	\$ _____	\$ _____
IRA, 401(k), Qualified Pension and Profit Sharing Plans (vested)	\$ _____	\$ _____	\$ _____
Tangible Personal Property	\$ _____	\$ _____	\$ _____
Business Interests	\$ _____	\$ _____	\$ _____
Other	\$ _____	\$ _____	\$ _____
Subtotals	\$ _____	\$ _____	\$ _____

<u>Liabilities</u>	Spouse #1	Spouse #2	Joint
Real Estate Mortgages	\$ _____	\$ _____	\$ _____
Loans on Insurance Policies	\$ _____	\$ _____	\$ _____
Other Loans and Notes	\$ _____	\$ _____	\$ _____
Pledges	\$ _____	\$ _____	\$ _____
Taxes	\$ _____	\$ _____	\$ _____
Other	\$ _____	\$ _____	\$ _____
Subtotals	\$ _____	\$ _____	\$ _____
Totals	\$ _____	\$ _____	\$ _____

CLOSELY-HELD BUSINESS INTERESTS

Company: _____

Address: _____

Type of entity (C corporation, S corporation, partnership, limited liability company): _____

Percentage of business owned by Spouse #1 and estimated value: _____

Percentage of business owned by Spouse #2 and estimated value: _____

Identity of other owners, if any: _____

Do you desire the business to be combined following your death(s)? _____

What provisions have been made for successor management? _____

Are there any buy/sell or stock redemption agreements? _____

What arrangements have been made to fund any such buyout or redemption? _____

LIFE INSURANCE

Company: _____

Type of policy (term, whole life, universal, split dollar, group, etc.): _____

Insured: _____

Owner: _____

Primary beneficiary: _____

Contingent beneficiary: _____

Death benefit: _____

Insurance agent's name & address: _____

Company: _____

Type of policy (term, whole life, universal, split dollar, group, etc.): _____

Insured: _____

Owner: _____

Primary beneficiary: _____

Contingent beneficiary: _____

Death benefit: _____

Insurance agent's name & address: _____

Company: _____

Type of policy (term, whole life, universal, split dollar, group, etc.): _____

Insured: _____

Owner: _____

Primary beneficiary: _____

Contingent beneficiary: _____

Death benefit: _____

Insurance agent's name & address: _____

OTHER INTERESTS

Are either of you a beneficiary or a trustee under any trust? _____ If so, please explain and provide a copy of the trust agreement or other governing instrument (such as a will) if possible: _____

Are either of you likely to receive substantial inheritances in the foreseeable future from persons other than each other? _____ If so, please explain and state the source, nature and estimated value of each inheritance (if known): _____

Describe the general nature, form of ownership and your estimate of the value of any asset or other interest of value that does not seem to fit in any of the categories above: _____

ESTATE PLANNING OBJECTIVES

State any particular objectives each of you wish your estate plans to accomplish: _____

Identify any debts *owed to you* that you wish to address in your estate plans:

Debtor	Relationship	Amount of Debt

Identify any debts *you owe* that you wish to address in your estate plans:

Debtor	Relationship	Amount of Debt
_____	_____	_____
_____	_____	_____
_____	_____	_____

Do you want to *require* that any mortgage or other debt secured by your residence or any other real property be paid out of your estate? _____

If so, explain: _____

Identify any charitable or non-charitable specific gifts you wish to make in your estate plans:

Name	Relationship	Item or Amount
_____	_____	_____
_____	_____	_____
_____	_____	_____

Describe how you would like to dispose of the remainder of your estate:

Name	Relationship	Percentage
_____	_____	_____
_____	_____	_____
_____	_____	_____

Should all of your intended beneficiaries fail to survive to take your property, please list any contingent charitable or non-charitable beneficiaries to whom you may wish to leave your property:

Name	Relationship	Percentage
_____	_____	_____
_____	_____	_____

GUARDIANS, EXECUTORS, TRUSTEES AND AGENTS

If you have a minor child or minor children, you may designate in your Will a guardian or guardians you wish to nominate to have physical care and custody of the minor child or children if both natural or adoptive parents are deceased.

Name	Relationship	Address
Guardian: _____	_____	_____
Alternate: _____	_____	_____
Alternate: _____	_____	_____

Your executor has the responsibility to wind up your affairs at your death, see to it that your assets are collected, that claims, expenses, and estate and inheritance taxes are paid and then distribute property to trustees or other beneficiaries you have named. You should designate one or more executors.

Name	Relationship	Address
<u>Spouse #1's Executor:</u>		
<u>Alternate:</u>		
<u>Alternate:</u>		

<u>Spouse #2's Executor:</u>		
<u>Alternate:</u>		
<u>Alternate:</u>		

If your estate plans will include trusts, you should select one or more trustees. A trustee has the responsibility for the management of property that is to be held in trust for the benefit of the beneficiaries of the trust. A trustee can be an individual or a corporation qualified to act as a trustee (typically a bank or trust company).

Name	Relationship	Address
<u>Spouse #1's Trustee:</u>		
<u>Alternate:</u>		
<u>Alternate:</u>		

<u>Spouse #2's Trustee:</u>		
<u>Alternate:</u>		
<u>Alternate:</u>		

A Power of Attorney is a legal document in which you authorize another person (called an agent or attorney-in-fact) to act on your behalf in the management of your affairs. If your estate plans are to include a Power of Attorney, you should select one or more agents.

Name	Relationship	Address
<u>Spouse #1's Agent:</u>		
<u>Alternate:</u>		

<u>Spouse #2's Agent:</u>		
<u>Alternate:</u>		

A Health Care Power of Attorney appoints a person (called a health care agent) as your representative to make decisions regarding your health care treatment when you are unable to give informed consent. You will need to list the persons you want to serve as your health care agents.

Name	Relationship	Address
Spouse #1's Agent: _____		
Alternate: _____		

Spouse #2's Agent: _____		
Alternate: _____		

OTHER MATTERS RELEVANT TO YOUR ESTATE PLANNING
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List the current primary and contingent beneficiaries of each IRA and retirement benefits plan:

IRA or Benefits Plan	Primary/Relationship	Contingent/Relationship

List the current primary and contingent beneficiaries of each annuity:

Annuity	Primary/Relationship	Contingent/Relationship

Have you ever lived in a community property state (California, Texas, New Mexico, Arizona, Nevada, Louisiana, Washington, Idaho or, after 1985, Wisconsin)? _____ If so, give details of assets accumulated while in a community property state that were brought into this state: _____

Do either of you have a deceased spouse who died after December 31, 2010? _____

Do you own real property located outside of North Carolina? _____ If so, please describe the property owned and where it is located: _____

Have either of you made past gifts to a person or organization other than a spouse or a charity where the amount of such gift in any single year was more than \$3,000 in any year prior to 1982, or more than \$10,000 in any year from 1982 through 2001, or more than \$11,000 in any year from 2002 through 2005, or more than \$12,000 in any year from 2006 through 2008, or more than \$13,000 in any year from 2008 through 2012, or more than \$14,000 in 2013 or later? _____

If so, please state the nature and amount of the gift, when it was made and to whom it was made: _____

Have either of you placed any property in joint names with any person other than either of you? _____

If so, please explain: _____

Are either of you a guarantor of any indebtedness? _____ If so, please explain: _____

Do either of you have any powers of appointment over property (the right to transfer or dispose of property that you do not own) under a will, trust or other document? _____ If so, please explain and provide a copy of the document(s) creating any such power of appointment: _____

Are either of you an organ donor? _____ If so, which of you? _____

Have either of you purchased prepaid burial contracts? _____ If so, please describe: _____

Do either of you have long-term care insurance? _____ If so, please describe: _____

Are any of your intended beneficiaries qualified to receive governmental benefits as a result of any mental or physical impairment? If so, please describe: _____

Describe or list any other facts or matters about your affairs or situation that you think may be relevant in planning your estate but which do not seem to be covered by the other sections of this questionnaire: _____
